



EXPRESS MAIL NO. EL 755728340US

#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,533	04/25/2001	William Fornaciari	851763.406

CONFIRMATION NO. 7410

00500  
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC  
701 FIFTH AVE  
SUITE 6300  
SEATTLE, WA 98104-7092

## FORMALITIES LETTER



\*OC000000006212095\*

Date Mailed: 06/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 10 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1020.

08/23/2001 MABDI1 00000059 09843533

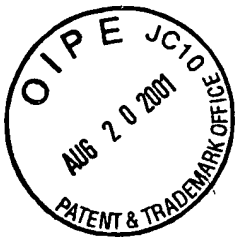
01 FC:101	710.00 OP
02 FC:103	180.00 OP
03 FC:105	130.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



EXPRESS MAIL NO. EL755728340US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William Fornaciari et al.  
Application No. : 09/843,533  
Filed : April 25, 2001  
For : ENCODER/DECODER ARCHITECTURE AND RELATED  
PROCESSING SYSTEM

Art Unit : 2183  
Docket No. : 851763.406  
Date : August 20, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

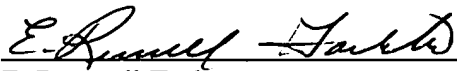
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

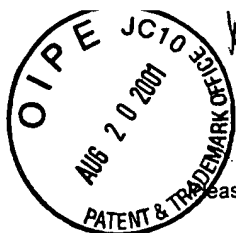
Commissioner for Patents:

In response to the Notice to File Missing Parts dated June 21, 2001, please find enclosed the following documents:

  X   Fee  
  X   Oath/Declaration and Power of Attorney  
  X   Copy of Notice to File Missing Parts  
      Sheets of Formal Drawings  
      Other \_\_\_\_\_

Respectfully submitted,  
William Fornaciari et al.  
Seed Intellectual Property Law Group PLLC

  
\_\_\_\_\_  
E. Russell Tarleton  
Registration No. 31,800



Sector/ #

EXPRESS MAIL NO. EL755728340US

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)


Application Number	09/843,533
Filing Date	April 25, 2001
First Named Inventor	William Fornaciari
Group Art Unit	2183
Examiner Name	
Attorney Docket No.	851763.406

## ENCLOSURES (check all that apply)

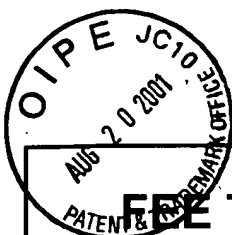
- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                      | <input type="checkbox"/> Assignment Papers<br>(for an Application)  | <input type="checkbox"/> CD(s), Number<br>of CD(s) _____   |
| <input checked="" type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance<br>Communication to Group                               |
| <input type="checkbox"/> Amendment/Response   | <input type="checkbox"/> Request for Corrected Filing<br>Receipt  | <input type="checkbox"/> Appeal Communication to<br>Board of Appeals and<br>Interferences        |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communication to<br>Group (Appeal Notice, Brief,<br>Reply Brief) |
| <input type="checkbox"/> Affidavits/declaration(s)  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                              | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Express Abandonment<br>Request                                       | <input checked="" type="checkbox"/> Power of Attorney,<br>Revocation, Change of<br>Correspondence Address | <input checked="" type="checkbox"/> Return Receipt Postcard                                      |
| <input checked="" type="checkbox"/> Information Disclosure<br>Statement; Form PTO-1449        | <input checked="" type="checkbox"/> Declaration   | <input checked="" type="checkbox"/> Additional Enclosure(s)<br>(please identify below):          |
| <input checked="" type="checkbox"/> Cited References (2)                                      | <input type="checkbox"/> Statement under 37 CFR<br>3.73(b)  | <u>Copy of Notice to File Missing</u>  |
| <input checked="" type="checkbox"/> Certified Copy of Priority<br>Document                    | <input type="checkbox"/> Terminal Disclaimer  | <u>Parts</u>   |
| <input checked="" type="checkbox"/> Response to Missing Parts<br>under 37 C.F.R. 1.52 or 1.53 | <input type="checkbox"/> Small Entity Statement   | _____  |
| <input type="checkbox"/> Response to Missing<br>Parts/Incomplete Application                  | <input type="checkbox"/> Request for Refund   | _____  |

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	E. Russell Tarleton	 00500 PATENT TRADEMARK OFFICE
Signature	<i>E. Russell Tarleton</i>	
Date	August 20, 2001	

D:\NPortb\NManage\ALIC\AR\207005\_1.DOC [08/10/01]



EXPRESS MAIL NO. EL755728340US

PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/843,533
Filing Date	April 25, 2001
First Named Inventor	William Fornaciari
Examiner Name	
Group Art Unit	2183
Attorney Docket No.	851763.406

**TOTAL AMOUNT OF PAYMENT (\$)** 1020**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

19-1090

Deposit  
Account  
Name

Seed Intellectual Property Law Group PLLC

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any overpayment to Deposit Account Number above.

- ☐
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$ 710)

**2. EXTRA CLAIM FEES**

			Extra Claims		Fee from below		Fee Paid
Total Claims	30	-20** =	10	X	18	=	180
Independent Claims	3	-3** =	0	X	80	=	0
Multiple Dependent				X		=	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue Independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 180)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130)

**SUBMITTED BY**Name (Print/Type) **E. Russell Tarleton**Registration No. **31,800**  
Attorney/Agent()Firm Name/  
Address

Signature

*E. Russell Tarleton*Date **August 20, 2001**

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